File No. 112025-00948
Date Mid.: NA RADEMARK
Serial No. 09 195, 933 Filing Date: 11 19 19 Group Art Unit: NA Applicant(s): Scott Bodes
Data Link Switch Backop Peer Devises and Ethernet Hon. Commissioner of Patents and Trademarks. Switch
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Patent Application ( pages Information Disclosure Stmt.
P10-1449 and Copies of Refs.
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File No. 112025-0094
Date Mld.: 1899
Date Due: NA
Serial No. 09 195 933 Filing Date: 11 19 98 Group Art Unit: NA
Applicant(s) Scott Bades
Title/Mark Technique For Improving The Interaction Between
Title/Mark Technique For Improving The Interaction Between Data Link Switch Backup Peer Devises and Ethernet
Hon. Commissioner of Patents and Trademarks:
We are forwarding herewith Letter to Official Draftsman
Patent Application ( pages Information Disclosure Stmt.
including claims) PTO-1449 and Copies of Refs.
Declaration and Power of Atty. Response to Office Action
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Scott Bales	)	
Serial No.: 09/195,933	)	Examiner: Not Yet Assigned
Filed: November 19, 1998	<i>)</i>	
For: TECHNIQUE FOR IMPROVING THE INTERACTION BETWEEN DATA LINK SWITCH BACKUP PEER DEVICES AND ETHERNET SWITCHES	) ) )	Art Unit: Not Yet Assigned
	, چذ	Cesari and McKenna, LLP 30 Rowes Wharf Boston, MA 02110 January 8, 1999

### **CERTIFICATE OF EXPRESS MAILING**

"Express Mail" Mailing-Label Number: EL024422839US

I hereby certify that the following Preliminary Amendment is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10 in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on January 8, 1999.

Honorable Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

PATENTS 112025-0094

RECEIVED

"Express Mail" Mailing-Label Number:

EL 736454585 US

August 7, 2002

AUG 1 2 2002

OFFICE OF PETITIONS

Honorable Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

#### **CERTIFICATION UNDER 37 CFR 3.73(b)**

Assignee hereby certifies, pursuant to 37 CFR 3.73(b), that Assignee has Ownership of the above referenced Application for U. S. Patent pursuant to an Assignment recorded at Reel No. 9604 Frame 0291 at the United States Patent and Trademark Office. Accordingly Assignee certifies that Assignee has authority to file POWER OF ATTORNEY filed herewith.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Michael J. Badzinski

Reg. No. P-51,425

CESARI AND MCKENNA, LLP

88 Black Falcon Avenue Boston, MA 02210-2414

(617) 951-2500

28-08-02

PATENTS 112025-0094



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Scott Bales	) )	
Serial No.: 09/195,933	Examiner: Ario Etie	enne
Filed: November 19, 1998	) ) Art Unit: 2157	-
For: TECHNIQUE FOR IMPROVING THE INTERACTION BETWEEN DATA LINK SWITCH BACKUP PEER DEVICES AND ETHERNET SWITCHES	At Out. 2137 ) . )	
	Cesari and McKenna 88 Black Falcon Ave Boston, MA 02210 August 7, 2002	•

#### **EXPRESS-MAIL DEPOSIT**

AUG 1 2 2002 OFFICE OF PETITIONS

"Express Mail" Mailing-Label Number: EL 736454585 US

The following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10:

X Power of Attorney
X Check in the amount of \$1,706.00
X Petition to Revive Under 37 C.F.R. \$1.137(b)
X Certification Under 37 CFR 3.73(b)
X Response to Office Action (Amendment)

X Fee Transmittal Letter
X Petition to Revive Under 37 C.F.R. \$1.137(b)
X Copy of Preliminary Amendment filed 1/8/99 with return postcard

Patent and Trademark Office/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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1,706

# TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

Application Number 09/195,933 RECEIVED
Filing Date November 19, 1998
First Named Inventor Scott Bales AUG 1 2 2002
Examiner Name Ario Etienne
Group Art Unit 2157 OFFICE OF PETITIONS
Attorney Docket No. 112025-0094

METHOD OF PAYMENT	FEE CALCULATION (continued)			
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit	3. ADDITIONAL FEES Large Entity Small Entity			
Account 03-1237 Number	Fee Fee Fee Fee Fee Description (Code (\$) Code (\$)	Fee Paid		
Deposit Account Cesari and McKenna, LLP	105 130 205 65 Fee Surcharge - late filing fee or oath			
Name	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
Under 37 C.F.R. §§1.16 and 1.17	139 130 139 130 Non-English Specification			
Applicant daims small entity status.  See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination			
2. Payment Enclosed: Check Credit card Money Check	112 920 112 920* Requesting publication of SIR prior to Examiner action			
FEE CALCULATION	113 1,840 113 1,840 *Requesting publication of SIR after Examiner action			
	115 110 215 55 Extension for reply within first month			
1. BASIC FILING FEE	116 400 216 200 Extension for reply within second month			
Large Entity Small Entity	117 920 217 460 Extension for reply within third month			
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	118 1,440 218 720 Extenstion for reply within fourth month			
101 740 201 370 Utility filing fee	128 1,960 128 980 Extension for reply within fifth month			
106 330 206 165 Design filing fee	119 320 219 160 Notice of Appeal			
107 510 207 255 Plant filing fee	120 320 220 160 Filing a brief in support of an appeal			
108 740 208 370 Reissue filing fee	121 280 221 140 Request for oral hearing			
114 160 214 80 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 0	140 110 240 55 Petition to revive - unavoidable			
	141 1,280 241 640 Petition to revive - unintentional	1,280		
2. EXTRA CLAIM FEES  Extra Fee from	142 1,280 242 640 Utility Issue fee (or reissue)			
Claims below Fee Paid	143 460 243 230 Design Issue fee			
Total Claims 25 - 20** = 5 x 18 = 90	144 620 244 310 Plant Issue fee			
Independent -	122 130 122 130 Petitions to the Commissioner 123 50 123 50 Processing fee under 37 CFR 1 17(g)			
	1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -			
Multiple Dependent = 0	126 180 126 180 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per			
Large Entity Small Entity	property (times number of properties)			
Fee Fee Fee Fee Description Code (\$) Code (\$)	146 740 246 370 Filing a submission after final rejection			
103 18 203 9 Claims in excess of 20	(37 CFR 1.129(a))			
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid	149 740 249 370 For each additional invention to be			
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 **Reissue independent claims over	examined (37 CFR 1.129(b))			
original patent	179 740 279 370 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination			
110 18 210 9 **Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 426	Other fee (specify)			
or number previously paid, if greater, For Reissues, see below	Other fee (specify)			
	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	1,280		
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